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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/648,271	08/25/2000	Petro Estakhri	LEXA-00301	5878
28960	7590	08/11/2004	EXAMINER	
HAVERSTOCK & OWENS LLP 162 NORTH WOLFE ROAD SUNNYVALE, CA 94086			TRAN, DENISE	
			ART UNIT	PAPER NUMBER
			2186	

DATE MAILED: 08/11/2004

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Please find below and/or attached an Office communication concerning this application or proceeding.

SP

Office Action Summary

Application No.

09/648,271

Applicant(s)

ESTAKHRI ET AL.

Examiner

Denise Tran

Art Unit

2186

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 14 May 2004.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-66 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 1-13 and 27-49 is/are allowed.
- 6) ☒ Claim(s) 14, 19, 20, 25, 26, 50-54 and 60-66 is/are rejected.
- 7) ☒ Claim(s) 15-18, 21-24 and 55-59 is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 14 May 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- * See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. Claims 1-66 are presented for examination.
2. Figure 3 should be designated by a legend such as --Prior Art-- because only that which is old is illustrated. See MPEP § 608.02(g). A proposed drawing correction or corrected drawings are required in reply to the Office action to avoid abandonment of the application. The objection to the drawings will not be held in abeyance. The objection is **maintained**. The objection to figs. 1 and 5-6 is **withdrawn** due to the applicant's amendment filed 5/14/04.
3. The objection to the abstract is **withdrawn** due to the applicant's amendment filed 5/14/04.
4. The objection to the specification as failing to provide proper antecedent basis for the claimed subject matter is **withdrawn** due to the applicant's amendment filed 5/14/04.
5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States

only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

6. Claims 14, 19-20, 25, 50-54, 60-63 and 65 are rejected under 35 U.S.C. 102(e) as being anticipated by lida et al., U.S. Patent No. 6,625,713 B2 (hereinafter lida).

As per claim 50, lida teaches a flash memory device for storing user data comprising a plurality of separate, independently addressable, independently programmable and independently erasable non-volatile physical memory blocks distinguishably defined by a plurality of physical block addresses (i.e., segments or blocks, e.g., cols 5-6, figs. 7, 11 and 14) including:

a plurality of dedicated data blocks for storing user data (i.e., segments or blocks; e.g., figs. 7A and 11A segments or fig. 7A, blocks n-1 and n; col. 5, line 55 to col. 6, line 20); and

a plurality of dedicated overhead blocks for storing overhead data including a first dedicated overhead block and a second dedicated overhead block (i.e., segments or blocks; e.g., figs. 7A and 11A segments or fig. 7A, blocks 0-3; fig. 7F; and col. 5, line 55 to col. 6, line 20; fig. 14C segments or block 123).

As per claim 14, lida teaches a method of data storage within a flash memory comprising the steps:

Mapping a non volatile memory medium within the flash memory system into a plurality of independently addressable, independently programmable and independently erasable blocks (i.e., segments or blocks, e.g., cols 5-6, figs. 7, 11 and 14) including a plurality of dedicated data blocks (i.e., segments or blocks; e.g., figs. 7A and 11A segments or fig. 7A, blocks n-1 and n; col. 5, line 55 to col. 6, line 20) and a plurality of

dedicated overhead blocks comprising a first dedicated overhead block and a second dedicated overhead block (i.e., segments or blocks; e.g., figs. 7A and 11A segments or fig. 7A, blocks 0-3; fig. 7F; and col. 5, line 55 to col. 6, line 20; fig. 14C segments or block 123);

Mapping each of the plurality of dedicated overhead blocks into a plurality of consecutive address overhead segments (e.g., figs. 14b-c, pages 0-15) wherein the plurality of segments within each dedicated overhead block are address according to an identical set of distinct segment addresses (e.g., figs. 14b-c, pages 0-15), each segment comprising: physical address register for storing a physical address for locating corresponding user data (e.g., fig. 14 D, 003; col. 12, lines 16-18); and a flag field (e.g., figs. 14 B-C, control flag); and

correlating first group of virtual logical block addresses including a first VLBA to the first dedicated overhead block (e.g., figs 14, redundant portion, logical address).

As per claims 51-54, lida teaches, wherein each dedicated overhead block is identically comprised of a plurality of separately addressable overhead pages, each block following an identical sequence of page addresses (i.e., blocks or pages; e.g., fig. 7A, blocks 1-3; or fig. 7D, pages 0-m); wherein each overhead page is comprised of a plurality of independently addressable and independently programmable segments (i.e., pages; e.g., fig. 7D, pages 0-m); wherein the plurality of independent overhead segments are used for storing overhead data, each overhead segment supporting one virtual logical block of user data (e.g., figs. 7E-F, redundant portion, logical address),

each overhead segment comprising: physical address register for storing a physical address for locating corresponding user data (e.g., fig. 14 D, 003; col. 12, lines 16-18); and a flag field (e.g., figs. 14 B-C, control flag); and lida shows wherein a first group of virtual logical block addresses including a first VLBA are assigned to the first dedicated overhead block, such that overhead data generated in support of the first VLBA will be stored in an overhead segment within the first dedicated overhead block (e.g., figs 14, redundant portion, logical address).

As per claims 19-20, 25, 60-63 and 65, lida shows a controller for regulating and controlling the operation of the flash memory (e.g., fig. 4, el. 109); a volatile ram space manager comprising a plurality of correlation fields for correlating virtual addresses and physical addresses or storing a logical address within a non-volatile correlation register within the flash memory system (e.g., fig. 4, el. 111; and col. 13, lines 15-25 and col. 20, lines 30-35); the space manager comprises a flag register comprising a plurality of status flags (e.g., fig. 7F, status flags; fig. 14D, control table flags); a means for loading data from a non volatile memory area into a correlation register of the RAM space manager on start up or power up (e.g., col. 20, lines 30-35); means for generating error correction data corresponding to user (e.g., col. 7, lines 1-30

7. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

8. Claim 64 is rejected under 35 U.S.C. 103(a) as being unpatentable over lida et al., U.S. Patent No. 6,625,713 B2 (hereinafter lida) as applied to claim 50 above, and further in view of applicant's admitted prior art, the current specification pages 2-12 and figs. 1-6 (hereinafter AAPA).

As per claim 64, lida shows a means for loading data from a nonvolatile memory area into the space manager on start up (e.g., col. 20, lines 30-35). lida does not explicitly shows the use of a reset command. AAPA shows the use of a reset command (e.g., page 11, lines 20-26. It would have been obvious to one of ordinary skill in the art at the time the invention was made to apply the teaching of AAPA into the system of lida because it would allow the system to recover data from the system failure.

9. Claims 26 and 66 are rejected under 35 U.S.C. 103(a) as being unpatentable over lida et al., U.S. Patent No. 6,625,713 B2 (hereinafter lida) as applied to claims 50 or 14 above, and further in view of Tanaka, U.S. Patent No. 6,446,177 B1 (hereinafter Tanaka).

As per claims 26 and 66, lida shows a dedicated data block to function as a dedicated overhead block and an existing dedicated overhead block (e.g., col. 11, line 65- col. 12, line 15). lida does not explicitly shows means for re-designating a block in the even of failure of an existing block. Tanaka shows means for re-designating a block in the even of failure of an existing block (e.g., page 28, lines 40-50). It would have been obvious to one of ordinary skill in the art at the time the invention was made to

apply the teaching of Tanaka into the system of lida because it would allow the system to recover data from the defective block.

10. Claims 1-13 and 27-49 are allowed.

11. Claims 15-18, 21-24, and 55-59 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

12. Applicant's arguments filed 5/14/04 have been fully considered but they are not persuasive.

13. In the remarks, the applicant argued that neither figs. 7, 9, 11 or 14 nor the cited columns teach a flash memory system architecture with separate storage of overhead and user data, whereby user data and overhead data are stored in separate blocks.

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., separate storage of overhead and user data, whereby user data and overhead data are stored in separate blocks) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed.

Cir. 1993). lida teaches what in the claims is a flash memory system with a plurality of dedicated data blocks (i.e., segments or blocks; e.g., figs. 7A and 11A segments or fig. 7A, blocks n-1 and n; col. 5, line 55 to col. 6, line 20) and a plurality of dedicated overhead blocks comprising a first dedicated overhead block and a second dedicated overhead block (i.e., segments or blocks; e.g., figs. 7A and 11A segments or fig. 7A, blocks 0-3; fig. 7F; and col. 5, line 55 to col. 6, line 20; fig. 14C segments or block 123).

14. In the remarks, the applicant argued that lida did not teach segregating overhead data from user data, which minimizes the amount of memory consumed by dedicated overhead functions and make efficient use of overhead memory when certain logical block addresses are repeated more often than other logical block addresses.

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., segregating overhead data from user data, which minimizes the amount of memory consumed by dedicated overhead functions and make efficient use of overhead memory when certain logical block addresses are repeated more often than other logical block addresses) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993). lida teaches what in the claims is a flash memory system with a plurality of dedicated data blocks (i.e., segments or blocks; e.g., figs. 7A and 11A segments or fig. 7A, blocks n-1 and n; col. 5, line 55 to col. 6, line 20) and a plurality of dedicated overhead blocks

comprising a first dedicated overhead block and a second dedicated overhead block (i.e., segments or blocks; e.g., figs. 7A and 11A segments or fig. 7A, blocks 0-3; fig. 7F; and col. 5, line 55 to col. 6, line 20; fig. 14C segments or block 123).

15. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the date of this final action.

16. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Denise Tran whose telephone number is (703) 305-9823. The examiner can normally be reached on Monday, Thursday and an alternated Friday.

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If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Matt Kim can be reached on (703) 305-3821. The fax phone numbers for the organization where this application or proceeding is assigned are (703) 872-9306 for central Official communications.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.



D.T.
August 8, 2004